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Patents, P.O. Box/1450, Alexandria, VA 22313-1450



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## **Patent Application**

Applicant(s): Bolle et al.

Docket No:

YOR920000383US2

Serial No.:

10/623,926

Filing Date:

July 21, 2003

Group:

3621

Examiner:

Unassigned

Title:

Business System and Method Using a Distorted Biometrics

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying PTO Form 1449. Copies can be provided upon Examiner's request.

#### **U.S Patents**

- 1. U.S. Patent 4,972,476
- 2. U.S. Patent 4,993,068
- 3. U.S. Patent 5,261,002
- 4. U.S. Patent 5,434,917
- 5. U.S. Patent 5,485,312
- 6. U.S. Patent 5,590,261
- 7. U.S. Patent 5,613,012
- 8. U.S. Patent 5,666,416
- 9. U.S. Patent 5,717,758
- 10. U.S. Patent 5,793,868
- 11. U.S. Patent 5,930,804

## Other Documents

12. Ashburn J., "The Biometric White Paper," http://homepage.ntlworld.com/avanti/whitepaper.htm, pub. 1999.

13. Fabien et al., "Evaluation of Copyright Marking Systems," Proceedings of IEEE Multimedia Systems '99, Vol. 1, pgs. 574-579 (June 1990).

14. "IBIA Letter to U.S. Department of Health and Human Services Providing Comment on the Proposed 'Standards for Privacy of Individually Identifiable Health Information'," February 4, 2000.

Attorney Docket No.: YOR920000383US2

In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or the credit **International Business Machines Corporation's Deposit**Account No. 50-0510 as required to correct the error.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability or as a representation that no other material information exists.

Date: December 10, 2003

Respectfully submitted,

Robert J. Mauri

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# **FORM PTO-1449 (MODIFIED)**

LIST OF PUBLICATIONS FOR **APPLICANT'S INFORMATION** DISCLOSURE STATEMENT

pplicant(s): Bolle et al. Pocket No.: YOR92000

YOR920000383US2

Serial No.: Filing Date:

10/623,926 July 21, 2003

Group: 3621

		U	S. PATENT DOCUMENT	rs .	
EXAMINER <u>INITIAL</u>	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	FILING DATE  IF APPROPRIATE
	4,972,476 4,993,068	11/90 02/12/91	Nathans Piosenka et al.		
	5,261,002	11/09/93	Perlman et al.		
	5,434,917	07/18/95	Naccache et al.		
	5,485,312 5,590,261	01/96 12/31/96	Horner et al. Sclaroff et al.		
	5,613,012	03/01/97	Hoffman et al.		
	5,666,416	09/09/97	Micali		
	5,717,758	02/10/98	Micall		
	5,793,868	08/11/98	Micali		
	5,930,804	07/27/99	Yu et al.		
		FOR	EIGN PATENT DOCUME	ENTS	
EXAMINER INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO
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		·····	OTHER DOCUMENTS		
EXAMINER NITIAL	REF NO. AUT)	HOR. TITLE, DATE PE	ERTINENT PAGES, ETC.		
	Ashburn J., "The pub. 1999. Fabien et al., "I Systems '99, V "IBIA Letter to	ne Biometric W Evaluation of Cool. 1, pgs. 574-: U.S. Departme	hite Paper," <a href="http://homepage">http://homepage</a> opyright Marking Systems," 579 (June 1990). nt of Health and Human Servey of Individually Identifiable	Proceedings of IEEE Multivices Providing Comment	imedia
Examiner				Date Consider	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.